

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

RANVIR YADAV, VEENA YADAV, PRIYANKA  
YADAV, SIDHARTH YADAV, SURENDER YADAV,  
AHLUWALIA AND DEEPAK SETH;

Plaintiffs,

-v-

RAJEEV A/K/A "ROGER" PUNJ; RICHARD E. KAPLAN;  
ROSENTHAL COLLINS GROUP, LLC.; UBS FINANCIAL  
SERVICES, INC.; ADLER 747, INC.; SMW TRADING  
COMPANY, INC.; FC STONE LLC; and CAPITAL ONE  
SERVICES LLC;

Defendants.

Case No. 1:11-CV-01500 (JGK)

Hon. John G. Koeltl

**RULE 7.1 DISCLOSURE**

Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure, Defendant Rosenthal  
Collins Group, LLC, by its undersigned attorneys, hereby states that it has no parent corporation  
and no publicly held corporation owns 10% or more of its stock.

Dated: April 25, 2011  
New York, New York

Respectfully Submitted,

SCHIFF HARDIN LLP

s/ Stacie R. Hartman

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